

SHUBHAM STOCK BROKING SERVICES PRIVATE LIMITED

(Stock Broking and DP Services)

Annexure C

Investor Complaints Data for the Month of May, 2026

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Direct from Investor	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories/ Stock Exchanges	0	0	0	0	0	0	0
4	Other Source if any	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend for monthly disposal of complaints

Sno	Month	Carried forward from Previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	Apr-26	0	0	0	0
2	May-26	0	0	0	0
	Grand Total	0	0	0	0

Trend for Annual disposal of complaints

Sno	Fin Year	Carried forward from previous month	Received during the year	Resolved during the year	Pending at the end of the year
1	2	3	4	5	6
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2024-25	0	0	0	0
8	2025-26	0	1	1	0
	Grand Total	0	1	1	0