

SHUBHAM STOCK BROKING SERVICES PRIVATE LIMITED

Annexure C

Investor Complaints Data (Trading and DP Section) for the Month of March, 2024

SN	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Direct From Investor	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Source if any	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend for monthly disposal of complaints FY 2023-24

(Trading & DP Section)

Sno	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	Apr-23	0	0	0	0
2	May-23	0	0	0	0
3	Jun-23	0	0	0	0
4	Jul-23	0	0	0	0
5	Aug-23	0	0	0	0
6	Sep-23	0	0	0	0
7	Oct-23	0	0	0	0
8	Nov-23	0	0	0	0
9	Dec-23	0	0	0	0
10	Jan-24	0	0	0	0
11	Feb-24	0	0	0	0
12	Mar-24	0	0	0	0
	Grand Total	0	0	0	0

Trend for Annual disposal of complaints

(Trading & DP Section)

Sno	Year	Carried forward from previous month	Received during the year	Resolved during the year	Pending at the end of the year
1	2	3	4	5	6
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
	Grand Total	0	0	0	0